

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
133070

In Re Application Of:

YE et al.

Serial No.

N/A

Filing Date

October 1, 2003

Examiner

N/A

Group Art Unit

N/A

Title:

METHOD AND APPARATUS FOR ANTI-ISLANDING PROTECTION OF DISTRIBUTED GENERATIONS

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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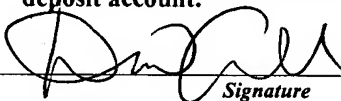
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Dated: October 1, 2003

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FORM PTO 1449 (REV 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	File No. 133070	Serial No. N/A
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant(s): YE et al.	
		Filing Date: October 1, 2003	Group: N/A

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,111,377	05/05/92	Higasa et al.	363	95	03/01/91
	2	6,219,623	04/17/01	Wills	702	60	11/24/98
	3	6,429,546	08/06/02	Ropp et al.	307	31	11/19/99

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)

4		Stevens, John et al. "Development and Testing of an Approach to Anti-Islanding in Utility-Interconnected Photovoltaic Systems," <i>SAND 2000-1939</i> , Albuquerque, NM: Sandia National Laboratories, August, 2000.
5		Ropp, M.E. et al. "Analysis and Performance Assessment of the Active Frequency Drift Method of Islanding Prevention," <i>IEEE Transactions on Energy Conversion</i> , IEEE: Vol. 14, No. 3, September 1999.

Examiner	Date considered
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.